

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: MARZIALI, Andrea and WHITEHEAD, Lorne

Application No./Patent No./Control No.: Unassigned Filed/Issue Date: Herewith

Entitled: SCODAPHORESIS AND METHODS AND APPARATUS FOR MOVING AND CONCENTRATING PARTICLES

The University of British Columbia, a university  
(Name of Assignee) (Type of Assignee, corporation, partnership, university, government agency, etc.)

states that it is:

- 1 ☒ the assignee of the entire right, title, and interest; or  
2 ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either

A ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a true copy of the original assignment is attached.

OR

B ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows.

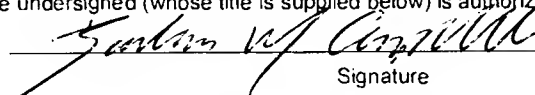
1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

**As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.**

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
Signature

BARBARA M. CAMPBELL

Associate Director Typed Name

University - Industry Liaison Office

Title

Aug 16 2006  
Date

604-822-6016

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

**PATENT ASSIGNMENT**

WHEREAS WE,

ANDREA MARZIALI  
5165 Sarita Place  
North Vancouver, British Columbia  
Canada V7R 3N3

LORNE WHITEHEAD  
3015 W. 12th Avenue  
Vancouver, British Columbia  
Canada V6K 2R4

(the "Assignors"), whose full post office addresses are as set out above, are the inventors of certain inventions or improvements described and claimed in Patent Cooperation Treaty international patent application No. PCT/CA2005/000124 filed 2 February 2005 and entitled:

**SCODAPHORESIS AND METHODS AND APPARATUS FOR MOVING AND  
CONCENTRATING PARTICLES**

AND WHEREAS, THE UNIVERSITY OF BRITISH COLUMBIA, (the "Assignee") whose full post office address is Industry Liaison Office, 103 - 6190 Agronomy Road, Vancouver, British Columbia, V6T 1Z3, Canada, is desirous of acquiring the entire right, title and interest in and to the said inventions or improvements, and in and to the said application, and in, to and under all Letters Patent which may be granted on or as a result thereof in all countries;

NOW THEREFORE, in consideration of ONE (\$1.00) DOLLAR and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, WE, the Assignors, hereby assign to the Assignee all right, title and interest in and to the said inventions or improvements and said application, and all continuations, divisions, renewals of or substitutes for said application, and in, to and under all Letters Patent which may be granted on or as a result thereof, and any reissue or reissues of said Letters Patent; and WE assign to and authorize the Assignee to file in our names applications for Letters Patent for said inventions or improvements in all countries, the same to be held and enjoyed by the Assignee, its successors, assigns, nominees or legal representatives, to the full end of the term or terms for which said Letters Patent respectively may be granted, reissued or extended, as fully and entirely as the same would have been held and enjoyed by us, had this assignment not been made;

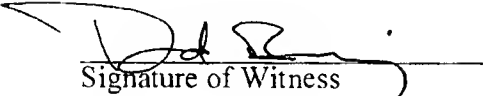
AND WE hereby covenant that WE have full right to convey the entire interest herein assigned, and that WE have not executed and will not execute any agreement in conflict herewith, and WE further covenant and agree that WE will, each time request is made and without undue delay, execute and deliver all such papers as may be necessary or desirable to perfect the title to said inventions or improvements, said application and said Letters Patent, in the Assignee, its successors, assigns, nominees or legal representatives, and WE agree to communicate to the Assignee, or its nominees, all known facts respecting said inventions or improvements, said application and said Letters Patent, to testify in any legal proceedings, to sign all lawful papers, to execute all disclaimers and divisional, continuing, reissue and foreign applications, to make all rightful oaths, and generally to do everything possible to aid the Assignee, its successors, assigns, nominees and legal representatives to obtain and enforce for its or their own benefit, proper patent protection for said inventions or improvements in all

countries, all at the expense, however, of the Assignee or its successors, assigns, nominees or legal representatives;

AND WE hereby authorize and request the officials of all countries whose duty it is to issue patents on applications as aforesaid, to issue to the Assignee, as assignee of the entire right, title and interest, all Letters Patent for said inventions or improvements, which may be issued and granted on or as a result of the application aforesaid, in accordance with the terms of this Assignment.

EXECUTED at Vancouver, BC, Canada this 17 day of March, 2005.  
(month) (city) (province/state) (country) (date)

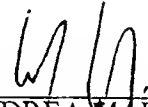
SIGNED, SEALED & DELIVERED  
by ANDREA MARZIALI  
in the presence of:

  
Signature of Witness

DAVID BROEMELING  
Print Witness's Name

#166-5450 UNIVERSITY BLVD.  
VANCOUVER, BC  
V6T 1K4  
Print Witness's Address

SCIENTIFIC ENGINEER.  
Print Witness's Occupation

  
ANDREA MARZIALI

EXECUTED at \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_ this \_\_\_\_ day of \_\_\_\_\_, 2005.  
(month) (city) (province/state) (country) (date)

SIGNED, SEALED & DELIVERED  
by LORNE WHITEHEAD  
in the presence of:

\_\_\_\_\_  
Signature of Witness

\_\_\_\_\_  
Print Witness's Name

\_\_\_\_\_  
Print Witness's Address

\_\_\_\_\_  
Print Witness's Occupation

\_\_\_\_\_  
LORNE WHITEHEAD

countries, all at the expense, however, of the Assignee or its successors, assigns, nominees or legal representatives;

AND WE hereby authorize and request the officials of all countries whose duty it is to issue patents on applications as aforesaid, to issue to the Assignee, as assignee of the entire right, title and interest, all Letters Patent for said inventions or improvements, which may be issued and granted on or as a result of the application aforesaid, in accordance with the terms of this Assignment.

EXECUTED at \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_ this \_\_\_\_ day of \_\_\_\_\_, 2005.  
(month) (city) (province/state) (country) (date)

SIGNED, SEALED & DELIVERED  
by ANDREA MARZIALI  
in the presence of:

\_\_\_\_\_  
Signature of Witness

\_\_\_\_\_  
ANDREA MARZIALI

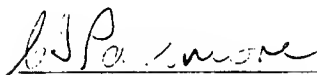
\_\_\_\_\_  
Print Witness's Name

\_\_\_\_\_  
Print Witness's Address

\_\_\_\_\_  
Print Witness's Occupation

EXECUTED at VANCOUVER BC CANADA this 17 day of MARCH, 2005.  
(month) (city) (province/state) (country) (date)

SIGNED, SEALED & DELIVERED  
by LORNE WHITEHEAD  
in the presence of:

  
Signature of Witness

  
LORNE WHITEHEAD

CHARLOTTE I. PASSMORE  
Print Witness's Name

303 WEST 17 AVE  
VANCOUVER BC  
V5Y 1Z8  
Print Witness's Address

ADMINISTRATIVE ASSISTANT  
Print Witness's Occupation